

Qinwei He

**Spectral Efficiency Enhancement
Technologies for Future
Reliability-Aware Wireless
Communication Systems**

Spectral Efficiency Enhancement Technologies for Future Reliability-Aware Wireless Communication Systems

Von der Fakultät für Elektrotechnik und Informationstechnik
der Rheinisch-Westfälischen Technischen Hochschule Aachen
zur Erlangung des akademischen Grades eines Doktors der
Ingenieurwissenschaften genehmigte Dissertation

vorgelegt von

Master of Science

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Tag der mündlichen Prüfung: 23. Mai 2019

Berichte aus der Kommunikationstechnik

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Shaker Verlag
Düren 2019

Bibliographic information published by the Deutsche Nationalbibliothek

The Deutsche Nationalbibliothek lists this publication in the Deutsche Nationalbibliografie; detailed bibliographic data are available in the Internet at <http://dnb.d-nb.de>.

Zugl.: D 82 (Diss. RWTH Aachen University, 2019)

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Printed in Germany.

ISBN 978-3-8440-6888-7

ISSN 0945-0823

Shaker Verlag GmbH • Am Langen Graben 15a • 52353 Düren

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Acknowledgements

First of all, I would like to express my sincere, heartfelt thanks to my supervisor, Prof. Anke Schmeink, a respectable, responsible and resourceful scholar, who has provided me with the continuous support of my PhD study and related research. I am grateful to all her contributions of time, ideas, and funding to make my PhD experience productive and stimulating.

Besides my supervisor, I would like to thank and express my gratitude to the second reviewer of my thesis, Prof. Petri Mähönen, for his availability and constructive suggestions. Special thanks go to my defence committee members, Prof. Renato Negra and Prof. Egbert Figgemeier, for sharing insightful suggestions, offering the oral exam, and attending my defence session.

To my colleagues of the ISEK and TI groups in RWTH Aachen University, I thank them for creating a friendly and energetic working atmosphere and providing immense support in both my work and life throughout these years.

Last but not least, I would like to thank my families and my girlfriend for their unconditional moral support, encouragement and love throughout this challenging period, and without which I would not have accomplished this personal goal.

Qinwei He

*Dedicated to my life-coaches,
my late grandfather, Longbin He
and my late father, Qi He.*

*I owe it all to you.
Many Thanks!*

Abstract

Spectral efficiency and reliability are considered as two major concerns for future wireless systems. Although various technologies have been proposed and examined to enhance the spectral efficiency effectively, the reliability performance of them has not yet been investigated thoroughly.

In this thesis, we contribute to improving the dominating spectral efficient technologies concerning their reliabilities in two aspects. On the one hand, we aim at satisfying different reliability requirements from the network level of wireless communication systems, i.e., obtaining optimal resource allocation schemes. On the other hand, we focus on developing the data transmission and reception techniques for these technologies so that their reliabilities can be enhanced, i.e., reducing error probabilities. For each aspect, two essential technologies are studied and evaluated.

In the network level, power-domain non-orthogonal multiple access and Sparse Code Multiple Access (SCMA) are considered. For the former, we achieve a closed-form suboptimal power allocation expression from the derived symbol error rate to satisfy the reliability requirements of each user. We show that the proposed solution is extremely close to the optimal one. For the SCMA network, a convex optimisation problem regarding resource allocation is formulated considering reliability and low latency demands. We propose an algorithm to solve the problem effectively and achieve a higher network sum-rate compared to the conventional scheme.

In the data transmission and reception part, Multiple-Input Multiple-Output (MIMO) and two Orthogonal Frequency Division Multiplexing (OFDM) variants are examined. By merging a new lattice reduction scheme into the successive interference cancellation detection, a better error performance can be achieved for the MIMO system. As for the two OFDM variants, a better decision rule and wavelet transform are introduced to each scheme accordingly to reduce their error probabilities.

List of Publications

Publication included in Chapter 3 regarding power-domain non-orthogonal multiple access:

- [J1] Q. He, Y. Hu, A. Schmeink, “Closed-form symbol error rate expressions for non-orthogonal multiple access systems,” *IEEE Trans. Veh. Technol.*, pp. 1-14, May 2019.

Publication included in Chapter 4 regarding sparse code multiple access:

- [J2] Q. He, Y. Hu, A. Schmeink, “Resource allocation for ultra-reliable low latency communications in sparse code multiple access networks,” *EURASIP J. Wireless Commun. Netw.*, vol. 282, no. 1, pp. 1-9, Dec. 2018.

Publication included in Chapter 5 regarding multiple-input multiple-output system:

- [J3] Q. He, Y. Hu, A. Schmeink, “A Seysen’s algorithm based incremental lattice reduction,” *Trans. Emerg. Telecommun. Technol.*, vol. e3596, Mar. 2019.

Publications included in Chapter 6 regarding orthogonal frequency division multiplexing systems:

- [C1] Q. He, A. Schmeink, “A better decision rule for OFDM with subcarrier index modulation,” in *Proc. 21st Int. ITG Workshop on Smart Antennas*, Berlin, Germany, Feb. 2017.
- [C2] Q. He, C. Schmitz, A. Schmeink, “BEP of Fourier Transform and Discrete Wavelet Transform based OFDM,” in *Proc. IEEE Int. Symp. Wireless Commun. Syst. (ISWCS) 2013*, Ilmenau, Germany, Aug. 2013.

Publications not included:

- [J4] T. T. Nguyen, S. T. Le, Q. He *et al.*, “Multicarrier approaches for high-baudrate optical-fiber transmission systems with a single coherent receiver”, *IEEE Photon. J.*, vol. 99, no. 99, pp. 1–1, Feb. 2017.
- [C3] Q. He, A. Schmeink, “Comparison and evaluation between FBMC and OFDM systems,” in *Proc. 19th Int. ITG Workshop on Smart Antennas*, Ilmenau, Germany, Mar. 2015.
- [C4] G. Zhang, Y. Hu, Q. He *et al.*, “Type-II quasi-cyclic LDPC codes with girth eight from Sidon sequence,” in *Proc. IEEE Inform. Theory Workshop (ITW) 2018*, Guangzhou, China, Nov. 2018.

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